PATENT Application No. 10/804,778 Filing Date 03/19/2004

Customer No. 25461 Attorney Docket No. 048467.01101

## **CLAIM LISTING**

- 1. 57. (canceled).
- 58. (currently amended) A method for preparing <u>and using</u> a dispensable sophorolipid compound having spermicidal and/or antiviral properties comprising the steps of:
  - a) fermenting Candida bombicola in a fermentation media to form a natural mixture of lactonic sophorolipids and non-lactonic sophorolipids; then
  - b) treating the natural mixture of lactonic and non-lactonic sophorolipids with an alkoxide to form an ester at the carbonyl of the lactonic sophorolipids, wherein the alkoxide is derived from an alcohol, wherein the alcohol is a compound having the structure R-OH, wherein the R group comprises between 1 and 12 carbon atoms; then
  - treating the esterified sophorolipids with lipase and an activated ester to form an ester at at least one hydroxyl position of the sophorolipid polar head group, wherein the activated ester is selected from the group consisting of esters derived from linear or branched acids;
  - wherein the resultant treated sepherolipid is a compound having spermicidal and/or-antiviral properties; and then
  - formulating the resultant treated sophorolipid compound having spermicidal and/or virucidal properties with an excipient to obtain the dispensable sophorolipid compound having spermicidal and/or antiviral properties; and then
  - treating sperm or viruses with an effective amount of the dispensable sophorolipid compound for spermicidal or virucidal purposes.
- (canceled).
- (previously presented) The method as claimed in Claim 58, wherein the alkoxide is a sodium alkanoate metal salt.

PATENT Application No. 10/804,778 Filing Date 03/19/2004

Customer No. 25461 Attorney Docket No. 048467.01101

- 61. (canceled).
- (currently amended) The method as claimed in Claim 61 60, wherein the
  activated ester is selected from the group consisting of vinyl acetate, vinyl
  propionate, and vinyl butyrate.
- 63. (currently amended) The method as claimed in Claim 58 62, wherein, when treating the natural mixture of lactonic and non-lactonic sophorolipids with lipase and an activated ester to form an ester at at least one hydroxyl position of the sophorolipid polar head group, the sophorolipids are esterified with acetate groups.

64. - 67. (canceled).

- 68. (currently amended) The method as claimed in Claim 58 63, wherein the sophorolipid ester is ethyl 17-L-[(2'-O-β-D-glucopyranosyl-β-D-glucopyranosyl)-oxy]-cis-9-octadecenoate.
- 69. (currently amended) The method as claimed in Claim 58 63, wherein the ester formed on the sophorolipid is selected from the group consisting of methyl 17-L-[(2'-O-β-D-glucopyranosyl-β-D-glucopyranosyl)-oxy]-cis-9-octadecenoate-6',6"-diacetate, hexyl 17-L[(2'-O-β-D glucopyranosyl-β-D-glucopyranosyl)-oxy]-cis-9-octadecenoate, and ethyl 17-L[(2'-O-β-D glucopyranosyl-β-D-glucopyranosyl)-oxy]-cis-9-octadecenoate.

70. - 74. (canceled).

PATENT Application No. 10/804,778 Filing Date 03/19/2004

Customer No. 25461 Attorney Docket No. 048467.01101

- (currently amended) A method for preparing a dispensable sophorolipid compound having spermicidal and/or antiviral properties comprising the steps of:
  - fermenting Candida bombicola in a fermentation media to form a natural mixture of lactonic sophorolipids and non-lactonic sophorolipids; then
  - b) treating the natural mixture of lactonic and non-lactonic sophorolipids with an alkoxide to form an ester at the carbonyl of the lactonic sophorolipids, wherein the alkoxide is derived from an alcohol, wherein the alcohol is a compound having the structure R-OH, wherein the R group comprises between 1 and 12 carbon atoms, and wherein the sophorolipid ester is methyl 17-L-[(2'-O-β-D-glucopyranosyl-β-D-glucopyranosyl)-oxy]-cis-9-octadecenoate, methyl 17-L-[(2'-O-β-D-glucopyranosyl-β-D-glucopyranosyl-β-D-glucopyranosyl-β-D-glucopyranosyl-β-D-glucopyranosyl-β-D-glucopyranosyl-β-D-glucopyranosyl-β-D-glucopyranosyl-oxy]-cis-9-octadecenoate, and ethyl 17-L[(2'-O-β-D glucopyranosyl-β-D-glucopyranosyl)-oxy]-cis-9-octadecenoate; then
  - c) treating the esterified sophorolipids with lipase and an activated ester to form an ester at at least one hydroxyl position of the sophorolipid polar head group, wherein the activated ester is selected from the group consisting of esters derived from linear or branched acids
  - wherein the resultant treated sophorolipid is a compound having spermicidal and/or-antiviral-properties; and then
  - d) formulating the resultant sophorolipid compound having spermicidal and/or antiviral properties with DMSO as an excipient at a concentration of 0.3 mg/mL sophorolipid compound to DMSO to obtain the dispensable sophorolipid compound having spermicidal and/or antiviral properties; and then
  - treating sperm or viruses with an effective amount of the dispensable sophorolipid compound for spermicidal or virucidal purposes.

 PATENT
 Customer No. 25461

 Application No. 10/804,778
 Customer No. 25461

 Filing Date 03/19/2004
 Attorney Docket No. 048467.01101

 (previously presented) The method as claimed in Claim 75, wherein the alkoxide is a sodium alkanoate metal salt.

- 77. (canceled).
- (currently amended) The method as claimed in Claim 75 76, wherein the activated ester is selected from the group consisting of vinyl acetate, vinyl propionate, and vinyl butyrate.
- 79. (currently amended) The method as claimed in Claim 75 78, wherein, when treating the natural mixture of lactonic and non-lactonic sophorolipids with lipase and an activated ester to form an ester at at least one hydroxyl position of the sophorolipid polar head group, the sophorolipid is esterified with acetate groups.